



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kunihiro TSUBOSAKI

Serial No.: 09/917,854

Filed: July 31, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SEMICONDUCTOR DEVICE

REQUEST FOR CORRECTED FILING RECEIPT

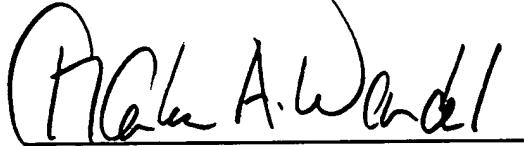
Commissioner for Patents
Office of Initial Patent Examination
Customer Service
Washington, D. C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel
Registration No. 24,453

Date: November 16, 2001

Attorney Docket No. DAIN:644
PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220



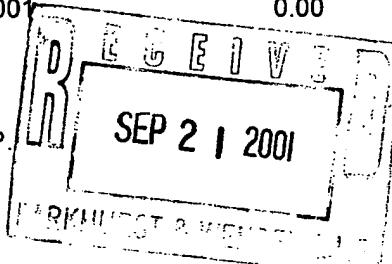
UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/917,854	07/31/2001		0.00	DAIN: 644	5	115	12

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, VA 22314-2805



CONFIRMATION NO. 2156

FILING RECEIPT



OC000000006581965

Date Mailed: 09/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kunihiro Tsubasaki, Tokyo-To, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications JAPAN 2000-233939 August 02, 2000

If Required, Foreign Filing License Granted 09/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

method for fabricating the semiconductor device .
Semiconductor device and manufacturing method of the same

Preliminary Class

Data entry by : IBRAHIM, SADIE

Team : OIPE

Date: 09/20/2001





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kunihiro TSUBOSAKI

Group Art Unit:Unassigned

Serial No.: 09/917,854

Examiner:Unassigned

Filed: July 31, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE
SEMICONDUCTOR DEVICE

REQUEST FOR APPROVAL OF DRAWING CORRECTIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached hereto are copies of Fig. 2 of the present application, which has been marked in red ink. The PTO is requested to review and approve the drawing correction and provide applicant's undersigned representative with written notice of such approval.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Charles A. Wendel
Registration No.24,453

November 16, 2001

Date

CAW/ddh

Attorney Docket No.: DAIN:644

PARKHURST & WENDEL, L.L.P.

1421 Prince Street, Suite 210

Alexandria, Virginia 22134-2805

Telephone: (703) 739-0220

(rev. 10/97)

LN



Receipt #3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kunihiro TSUBOSAKI

Serial No.: 09/917,854

Filed: July 31, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE
SEMICONDUCTOR DEVICE

SUBMISSION OF ORIGINAL EXECUTED APPLICATION

00027884-221603
Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

The above identified application was duly filed on July 31, 2001, in the Japanese language. Accordingly, submitted herewith is a Verified English-language Translation of the application. Also, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1,040.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.17(i), \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL

the full filing fees.

Entry of these documents should complete all of the filing formalities, and fully satisfy the Notice mailed September 20, 2001. Accordingly, examination and allowance of the application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel
Registration No. 24,453

November 16, 2001

Date

CAW:ddh

Attorney Docket No. DAIN:644

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

(rev. 11/00)

#B



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,854	07/31/2001	Kunihiro Tsubosaki	DAIN: 644

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, VA 22314-2805



CONFIRMATION NO. 2156
FORMALITIES LETTER



OC000000006581966

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

11/19/2001 GTEFFERA 00000063 09917854

01 FC:139 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Sandy
Customer Service Center
Initial Patent Examination Division (703) 308-1202

11/19/2001 GTEFFERA 00000011 09917854
01 FC:101 740.00 OP
02 FC:105 130.00 OP
11/19/2001 GTEFFERA 00000012 09917854
01 FC:105 130.00 OP

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00947854 111601